

TD Ameritrade Singapore Pte. Ltd.

Introduction

This document (the “**Execution of Customer Order**”) sets out the approach taken by TD Ameritrade Singapore, Pte. Ltd. (“**TDASG**”) in seeking to ensure that the best outcome is obtained for its clients on a consistent basis when executing client orders or receiving and transmitting orders to third parties for execution. It considers the requirements of the Monetary Authority of Singapore SFA 04-N16 Notice on Execution of Customer Order (“**MAS SFA 04-N16**”) and associated Guidelines (“**Guidelines**”).

Should you have further queries on TDASG’s policies and arrangements for ensuring best execution, please contact TDASG: help@tdameritrade.com.sg

The Best Execution Obligation

The Guidelines outline requirements relating to the placement and execution of customers’ orders on the best available terms, i.e., “Best Execution”. The Guidelines require a capital markets intermediary to establish and implement Best Execution policies and procedures considering a range of factors. The factors may include prices, costs, speed, likelihood of execution and settlement, size and nature of the order, or any other considerations relevant to the placement and/or execution of the order.

TDASG Execution Model

TDASG has entered into an agreement with its US affiliates TD Ameritrade, Inc. (“**TDAI**”), its executing broker, and TD Ameritrade Clearing, Inc. (“**TDAC**”), its custodian and clearing firm, pursuant to which TDASG delegates order execution to TDAI. Given TDASG’s focus on trading US financial instruments, TDAI is uniquely positioned to arrange execution in the US market.

Accordingly, TDASG relies on TDAI policies and procedures in relation to order routing and order execution in framing its Execution Policy and seeking to achieve best execution for clients.

TDAI considers a number of factors in evaluating execution quality among markets and firms, including execution price and opportunities for price improvement, market depth and order size, the trading characteristics of the security, speed and accuracy of executions, the availability of efficient and reliable order handling systems, liquidity and automatic execution guarantees, the likelihood of execution when limit orders become marketable and service levels and the cost of executing orders at a particular market or firm.

For additional information, see the following: [Market Order Execution | TD Ameritrade](#)

By clicking the Market Order Execution link, you are leaving TD Ameritrade Singapore’s website and visiting our outsource partners website for TDAI & TDAC. The TDAI/TDAC website is intended for U.S. residents. Not all products and services offered are available to non-U.S. residents. Nothing on the TDAI/TDAC website is an offer to sell or a solicitation of an offer to buy securities, products or service, by any person or entity in any jurisdiction or country where such distribution or use would be contrary to law or regulation.

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Please log into your account and ensure all account information is accurate. All prices in USD unless noted otherwise. All investments involve risk, including potential loss of principal. Clients must consider all relevant risk factors and their personal financial situation before trading; please read our Risk Warning Statement.

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