

Opt-Out from Accredited Investor Status Form

For Individuals and Joint Accounts



TD Ameritrade Singapore Pte. Ltd.

TD Ameritrade Account No.

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Part 1: Identification of Individual Opt-Out of Accredited Investor Status

Name (as per NRIC/Passport):

NRIC/Passport No.

Part 2: Opt Out Confirmation and Disclosure

Unless otherwise expressly defined herein, all defined terms shall have the meanings ascribed to them in the Declaration and Opt-In Form for Accredited Investor Status and Cross-Border Arrangement – For Individuals and Joint Accounts (“**AI Declaration Form**”)

1. In consideration of the Transactions and Services provided by TDASG, TDAI, TDAC and/or CSFF under the Cross-Border Arrangement with TDASG, the account holder indicated above (“**I**”, “**me**”, “**my**”) had been assessed to be an AI and had consented to being treated as an AI by TDASG, TDAI, TDAC and/or CSFF (“**you**”).
2. I hereby request to opt out of the AI status, and hereby notify you that I wish to withdraw my consent to being treated by you as an AI for the purposes of the Declaration and Opt-In Form for Accredited Investor Status and Cross-Border Arrangement.
3. I understand:
 - (a) that my request to opt out of the AI status would apply only in respect of the account, Transactions and Services (collectively the “Transactions and Services”) as indicated in clause (f);
 - (b) that by opting out of the AI status, Transactions and Services can no longer be offered or provided to me with effect from sixty (60) days after you confirm my withdrawal request (“**Withdrawal Effective Date**”), and this means that you may have to terminate each Client Agreement and may cease to provide or carry out any further Transactions and Services with or for me, prior to or with effect from the Withdrawal Effective Date;
 - (c) until such time, I can still be treated as an AI by you (although you are not obligated to continue offering or providing Transactions and Services to me);
 - (d) the consequences of opting out of the AI status have been explained in the AI Declaration Form, and I will not hold you liable for any loss or damage that may result from my opting out of the AI status;
 - (e) subject to any other rights that you may have under each Client Agreement and applicable laws, any Transactions and Services carried out prior to the Withdrawal Effective Date (“**past transaction**”) will not be affected solely by virtue of my withdrawal of consent to be treated as an AI. For example, if I had placed a trade order and incurred an obligation to settle the trade order prior to the Withdrawal Effective Date, you will still be obliged to settle the trade order even if the settlement date takes place on or after the Withdrawal Effective Date. You reserve the absolute discretion to determine if an act constitutes a past transaction; and
 - (f) I will be required to submit this form for each and every account I hold with you where I have been classified as an AI and wish to withdraw my consent to being treated by you as an AI.
4. To the extent that the account indicated above is a joint account:
 - (a) I acknowledge that my opting out of AI status would result in you being unable to treat all account holder(s), in relation to this joint account, as AI; and
 - (b) I consent to you notifying all such joint account holder(s) of my opting out of the AI status.

Signature:

Date:

Sign Here

X

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